Helping You Keep More of What You Earn

Financial Planning Checklist

Name: ____ Date: _________________

1. Do you have a retirement plan? Yes No N/A Pls. Explain

2. If yes, which type? ________________________

3. Has the plan performed as well as you expected? Yes No N/A Pls. Explain

4. Estimate the value now: ____________________

5. What type of investments? __________________

6. Has anyone prepared an annual performance review? Yes No N/A Pls. Explain

7. Do you (and/or significant other) have life insurance? Yes No N/A Pls. Explain

8. If yes, please provide the following:
    a) Insurance Company: ____________________
    b) Type: ________________________________
    c) Death Value: __________________________
    d) Annual Premiums: ______________________
    e) Cash Value: ___________________________

9. Do you have an irrevocable life insurance trust so that Life insurance proceeds are not taxed as part of your estate? Yes No N/A Pls. Explain

10. Do you (and/or your significant other) have a will and/or a revocable living trust? Yes No N/A Pls. Explain

11. Have you executed a living will or health care proxy in the event of a catastrophic illness or disability? Yes No N/A Pls. Explain

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12. If your net worth is in excess of $2,000,000, have you done estate planning? (Remember to include home equity and life insurance proceeds) Yes No N/A Pls. Explain
Est. Net Worth $____________________

13. Is your disability insurance coverage adequate? Yes *No N/A Pls. Explain

14. Do you have a college education funding program for your children? (If yes, briefly explain) Yes No N/A Pls. Explain

15. Would you be interested to have a personal financial plan prepared just for you? Yes No N/A Pls. Explain

16. Have you recently changed jobs? Yes No N/A Pls. Explain

17. If you own a business and have a partner(s), do you have a buy/sell agreement? Yes No N/A Pls. Explain

18. Have you considered the need for long-term care insurance? Yes No N/A Pls. Explain

19. Do you have a financial planner? (If yes, please provide)
Name ____
Company _____________________________________ Yes No N/A Pls. Explain

20. Besides accounting, tax and financial planning, did you know we also provide mortgage broker and business broker services?

21. I am interested in the following information:

_____ Retirement Planning	____ College Funding	_____ Disability Insurance
_____ Annuities	_____ Long Term Care	_____ Full Financial Plan
_____ IRA's/Roth's	_____ Mutual Funds	_____ Estate Planning

Other Concerns:

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